



Filing ID #10026898

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Hon. C. A. Dutch Ruppersberger
Status: Member
State/District: MD02

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 05/15/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Bank of America [BA]	JT	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ Nationwide Fixed Account (IRA) [IH]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: RMD = required minimum distribution					
Cash Deposits ⇒ Summit Community Bank [BA]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Cash Deposits ⇒ TDAM FDIC Insured Deposit [IH]		\$100,001 - \$250,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: RMD = Required minimum distribution					
Compass 2 ⇒ Compass 2 - (now Delaware Life) (IRA) [FN]	SP	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) SP RMD = Required Min. Distribution					
Compass 2 Variable Annuity ⇒ Compass 2 - (now -Delaware Life) (IRA) [FN]		\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: General Account (No specific holdings) RMD = required minimum distribution					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exchange Traded Funds ⇒ ISHARES Core S&P 500 (IVV) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Full sale 2/5/18					
Exchange Traded Funds ⇒ ISHARES Corporate Bond (LQD) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE (EFA) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Full sale 2/5/18					
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Emerg MKT (SPEM) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Financial Select Sector(XLF) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Final Sale 9/28/18					
Exchange Traded Funds ⇒ SPDR Global Real Estate (RWO) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Final sale 2/14/18					
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Re Est (XLRE) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm EFT (SPSM) [EF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Emerging Markets (VWO) [EF]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Final sale 2/5/18					
Exchange Traded Funds ⇒		None	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard High Div Yd (VYM) [EF] DESCRIPTION: Final sale 02/05/2018					
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap (VO) [EF] DESCRIPTION: Final Sale 02/06/2018		None	Tax-Deferred		<input checked="" type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Small Cap (VB) [EF] DESCRIPTION: Final Sale 2/6/2018		None	Tax-Deferred		<input checked="" type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rupp & Assoc. Inc. Timonium, Md. Collections [EQ]	SP	\$100,001 - \$250,000	Dividends	\$50,001 - \$100,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #3, 50% interest [RP] LOCATION: Baltimore, MD, US DESCRIPTION: Sold 6/30/18	JT	None	None		<input checked="" type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #8, 50% Interest [RP] LOCATION: Baltimore, MD, US DESCRIPTION: Sold 3/29/2018	JT	None	None		<input checked="" type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ Land [RP] LOCATION: Baltimore, MD, US	JT	\$250,001 - \$500,000	None		<input type="checkbox"/>
Stocks (IRA) ⇒ BlackRock Energy and Resources Trust (BGR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Stocks (IRA) ⇒ Nuveen Quality Preferred Income Fund 2 Shares of Beneficial Interest (JPS) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
US Treasury Bills [GS]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ ISHARES Core S&P500 (IVV) [EF]		01/29/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Core S&P500 (IVV) [EF]		02/1/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES Core S&P500 (IVV) [EF]		02/5/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE (EFA) [EF]		01/29/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE (EFA) [EF]		02/1/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES MSCI EAFE (EFA) [EF]		02/5/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		09/28/2018	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		12/7/2018	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ ISHARES US Preferred Stock(PFF) [EF]		12/20/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Market Vectors (GDX) [EF]		12/7/2018	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Emerg MKT (SPEM) [EF]		08/15/2018	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ SPDR Financial Select Sector(XLF) [EF]		02/6/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Financial Select Sector(XLF) [EF]		09/28/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Global Real Estate (RWO) [EF]		02/14/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		09/18/2018	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Portfolio Lrg Cap (SPLG) [EF]		09/20/2018	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust Re Est (XLRE) [EF]		01/29/2018	P	\$1,001 - \$15,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		09/27/2018	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ SPDR Trust S&P 500 (SPYD) [EF]		12/19/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ SPDR Trust Sm ETF (SPSM) [EF]		12/26/2018	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard Emerging Markets (VWO) [EF]		01/29/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Emerging Markets (VWO) [EF]		02/1/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Emerging Markets (VWO) [EF]		02/5/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard High Div Yd (VYM) [EF]		02/1/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard High Div Yd (VYM) [EF]		02/5/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard Short Corp Bond (VCSH) [EF]		05/18/2018	P	\$15,001 - \$50,000	
Exchange Traded Funds ⇒ Vanguard US Mid Cap (VO) [EF]		01/29/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Mid Cap (VO) [EF]		02/1/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒		02/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard US Mid Cap (VO) [EF]					
Exchange Traded Funds ⇒ Vanguard US Small Cap (VB) [EF]		02/1/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Exchange Traded Funds ⇒ Vanguard US Small Cap (VB) [EF]		02/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Federated TRSRY OBLG (TOSXX) [MF]		08/28/2018	P	\$100,001 - \$250,000	
Federated TRSRY OBLG (TOSXX) [MF]		09/28/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #3, 50% interest [RP] LOCATION: Baltimore, MD, US	JT	06/30/2018	S	\$250,001 - \$500,000	<input type="checkbox"/>
Sandy Shores Equity Group, LLC ⇒ House #8, 50% interest [RP] LOCATION: Baltimore, MD, US	JT	03/29/2018	S	\$250,001 - \$500,000	<input type="checkbox"/>
US Treasury Bills [GS]		09/28/2018	P	\$50,001 - \$100,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Baltimore County, Maryland	Retirement Pension	\$91,535.00
Maryland State Teachers	Retirement Pension	\$5,839.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Provident Funding	July, 2012	Mortgage on Primany Residence	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member	U. of Md. Medical Systems Shock Trauma
Board Member	United States Navel Academy

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
August 1975	Baltimore Co. Employment Retirement System	Balto. Co. Pension & Deferred Compensation Plan
August 1988	Maryland State Retirement Agency	Md. State Retirement & Pension System

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">o Cash Depositso Compass 2 (Owner: SP)o Compass 2 Variable Annuityo Exchange Traded Fundso Sandy Shores Equity Group, LLC (50% Interest) (Owner: JT) LOCATION: MD, USo Stocks (IRA)
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. C. A. Dutch Ruppertsberger , 05/15/2019